

Wealth Management



Organize
Analyze
Plan



Who We Are

“Go confidently in the direction of your dreams.
Live the life you have imagined.”

— HENRY DAVID THOREAU

We are your financial advocate.

We take the time to understand what you want out of life – for yourself and for your family.

We employ a comprehensive planning approach combined with sophisticated technology designed to optimize your results and help work toward your financial goals.

We have years of experience collaborating with clients like you. We believe your financial information should be organized, up to date, easy to understand and accessible to you whenever you want, from wherever you are.

We understand that unexpected events and life changes can impact your dreams. We monitor and track your progress every day and adjust your financial plans along the way.

**As your financial advocate,
your success is our success.**

What We Do

Our planning process can help you pursue your financial dreams.



There is no assurance that the strategies or recommendations implemented will yield positive outcomes. The purchase of certain securities may be required to effect some of the strategies. Investing involves risks including possible loss of principal.

We start by helping you identify your goals and evaluate where you are relative to those goals.

We evaluate your options and recommend strategies to help you get you where you want to be.

We don't stop there. We help you implement the recommendations. Then, we continually monitor your financial situation to ensure you remain on track to pursue your financial dreams.

Your goals remain the centerpiece of our recommendations and strategies.

How We Do It

We take a comprehensive view of your complete financial picture to develop a deeper understanding of what is required to pursue your goals.



COMPLETE FINANCIAL PICTURE

We link all your financial accounts for a complete and up-to-date view of your financial situation. Our analysis and recommendations are comprehensive and reflect current market conditions.



DEEPER UNDERSTANDING, PERSONALIZED RECOMMENDATIONS

Our 360° view of your financial world can help monitor overall performance, monitor the impact of debt and taxes and enhance your lifestyle. With your goals as the centerpiece of our process, we provide you financial reports that clearly illustrate where you are now and how our recommendations can help you pursue your goals.



MONITOR PROGRESS

With a daily snapshot of your progress, we can alert and remind you of financial factors that may impact your ability to achieve your goals. This relieves you of organizational burden, allowing you to focus your energies on what matters most in your life.



INCREASED COLLABORATION

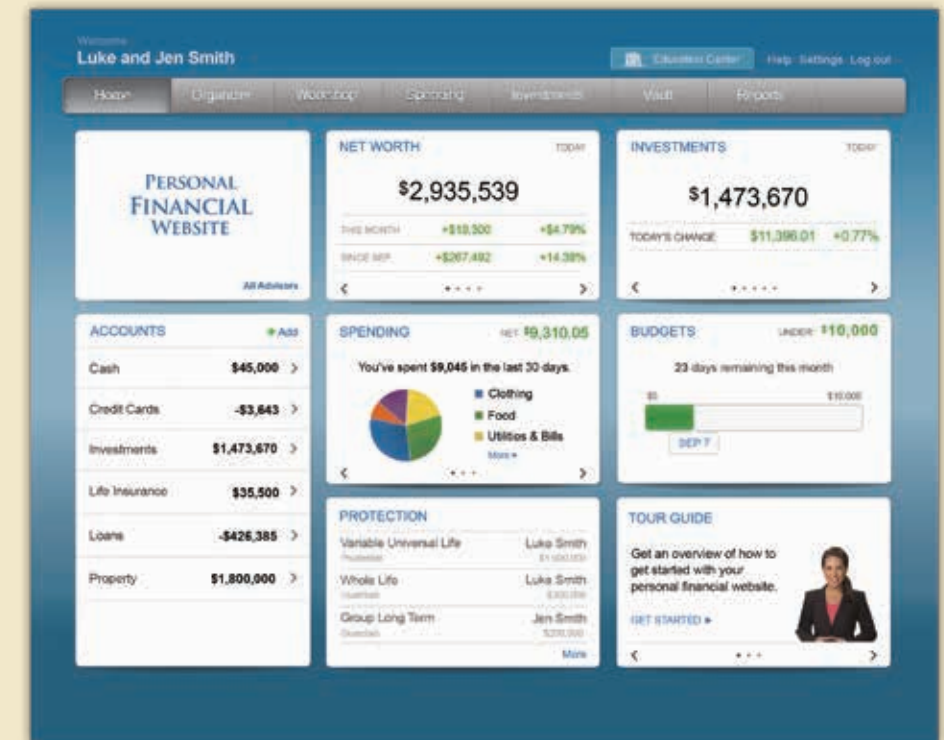
Our wealth management system provides both you and our team with a secure view of your financial progress. We can collaborate with you online at anytime, no matter where you are.

What We Provide

We make your life easier by providing unique services for staying organized, updated and prepared.

PERSONAL FINANCIAL WEBSITE

Your Personal Financial Website serves as a secure, up-to-date window into your entire financial world - accessible to you at anytime from anywhere with an internet connection or mobile device. Unlike online banking, online shopping or bill pay, our system is non-transactional.



ACCOUNTS UPDATED DAILY

All your financial account balances updated daily and accessible all in one view



REPORTS

Reflect your current account values and market changes



ALERTS

Automatically notify you of significant changes in your accounts



iDoc

Secure storage facility for your financial documents and more



UPDATED NET WORTH

Your net worth is calculated and updated daily



AWARDS MANAGER

Access all your important travel awards in one place

Step Towards Your Dreams



“What you leave behind is not what is engraved in stone monuments, but what is woven into the lives of others.”

— PERICLES

Please complete this Client Questionnaire so we can begin to organize, analyze and help you prepare for your financial journey.

Once you have completed the Client Questionnaire, please detach, mail, or fax it back to us prior to our next appointment.

Next Appointment

date:

time:

place:

.....

.....

.....

Client Questionnaire

Client Data

| | | |
|--------------------|--------------------|-----------------|
| Client Name: | Date of Birth: / / | US Citizen: Y N |
| Spouse Name: | Date of Birth: / / | US Citizen: Y N |
| Address: | | |
| City: | State: | Zip: |
| Home Phone: | Fax: | |
| Client Cell Phone: | Spouse Cell Phone: | |
| Client Email: | | |

Family Data

| Children | Date of Birth | Marital Status |
|----------|---------------|----------------|
| | / / | S M Div Sep |
| | / / | S M Div Sep |
| | / / | S M Div Sep |

Property

| Real Estate/ Personal | Current Value | Tax Basis | Pre-Retire Gross Growth | Post-Retire Gross Growth | Owner |
|-----------------------|---------------|-----------|-------------------------|--------------------------|-------|
| | | | | | |
| | | | | | |
| | | | | | |

Investments

| Type/Institution Name | Current Value | Tax Basis | Pre-Retire Gross Growth | Post-Retire Gross Growth | Owner |
|-----------------------|---------------|-----------|-------------------------|--------------------------|-------|
| | | | | | |
| | | | | | |
| | | | | | |

Retirement

| Type/ Institution Name | Current Value | Pre-Retire Gross Growth | Post-Retire Gross Growth | Owner | Beneficiary | Employee Contribution | Employer Contribution |
|---------------------------|------------------|----------------------------|-----------------------------|-------|-------------|--------------------------|--------------------------|
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Business Assets

| Business Name | Base Value | Tax Basis | Pre-Retire Gross Growth | Post-Retire Gross Growth | Owner | Business Type |
|---------------|------------|-----------|----------------------------|-----------------------------|-------|---------------|
| | | | | | | |
| | | | | | | |

Insurance

| | Life 1 | Life 2 | | Long Term Care | Disability |
|------------------------|--------|--------|--------------------|----------------|------------|
| Policy Number | | | Policy Number | | |
| Institution Name | | | Institution Name | | |
| Purchase Date | | | Purchase Date | | |
| Policy Type | | | Insured | | |
| Person Insured | | | Benefit Amount | | |
| Owner | | | Owner | | |
| Beneficiary | | | Annual Premium | | |
| Death Benefit | | | Premium Term | | |
| Cash Value | | | Premium Payer | | |
| Cash Value Growth Rate | | | Elimination Period | | |
| Annual Premium | | | Benefit Period | | |
| Premium Term | | | COLA | | |
| Premium Payer | | | | | |
| Reinvested At | | | | | |

Liability

| Mortgage/Loans | Institution Name | Current Balance | As of Date | Interest Rate | Loan Term |
|----------------|------------------|-----------------|------------|---------------|-----------|
| | | | | | |
| | | | | | |

Salary/Bonus and Social Security

| | Annual Amount | Indexed At | Owner | Starts | Ends |
|-----------------|---------------|------------|-------|--------|------|
| Salary/Bonus | | | | | |
| Salary/Bonus | | | | | |
| Social Security | | | | | |

Expenses

| Current | Semi-Retirement | Retirement | Advanced Years | Desired Income in the Event of Death: | |
|---------|-----------------|------------|----------------|---------------------------------------|----------------|
| | | | | Client's Death | Spouse's Death |
| | | | | | |

iDoc Checklist

The first step in the financial planning process involves evaluating your current financial situation and becoming financially organized. Organizing your important documents and storing them in a secure location makes it easier for you and/or your family members to respond in the event of an emergency or premature death. As part of our services, we can scan and organize these documents into your own personalized Vault, making them easily accessible to you anywhere there is internet access.

Legal Documents

- Wills
- Deeds
- Revocable & Irrevocable Trusts
- Power of Attorney
- Codicils (Supplements made to a Will)
- Living Wills/Health Directives
- Prenuptial Agreements
- Buy/Sell Agreements
- Contracts

Soc. Sec. and/or Veteran's Administration Info

Insurance Policies (Life, LTD, Disability, Medical, Car, Property)

Medical Records

Bank & Investment Statements

- Pensions, IRAs, Annuities etc... Investment Accounts
- Stock Options/Certificates

Liabilities

- List of Credit Cards with contact information
- Mortgages
- Loans

Taxes

- Tax Returns
- W-2 Forms

Identification

- Birth Certificates
- Drivers Licenses
- Passports

Family

- Adoption Papers
- Marriage License
- Pictures
- Audio Files
- Video Clips

Property

- Titles to Homes, Autos, Boats, etc...
- Warranties

Employment Benefits

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